



Annexure B: - Formats of Mandatory display

- i) Basic details of the SB such as registration number, registered address of Head Office and branches if any –

Stock Broker Name	Registration Number (CIN No)	Registered Address	Branch Address	Contact Number	Email Id
Derivium Tradition Securities (India) Private Limited	U67120MH2003PTC139989	10 th Floor, Eucharistic Congress Bldg No. III, 5 th Convent Road, Near Regal Cinema , Colaba Mumbai - 400039	Unit No 203, 2nd Floor Suite No.459, SBR CV Towers, Sector-I, Sy No 64, HUDA Techno Enclave, Madhapur, Hyderabad – 500081	022 6606 4600	dome@deriviumcap.com

Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email Id
Customer Care	-	-	-	-
Head of Customer Care	-	-	-	-
Compliance Officer	Jagdish Udeshi	Same as Registered Address	022 6606 4615	judeshi@deriviumcap.com
CEO/Managing Director	Ashish Ghiya (MD) Kunal Shah (MD)	Same as Registered Address	022 66064650 022 66064651	aghiya@deriviumcap.com kshah@deriviumcap.com

- ii) Names and contact details of all Key Managerial Personnel including the Compliance Officer

Sr. No	Name of the Individual	Designation	Mobile No	Email Id
1	Ashish Ghiya	Managing Director	99677 12111	aghiya@deriviumcap.com
2	Kunal Shah	Managing Director	98674 75000	kshah@deriviumcap.com
3	Jagdish Udeshi	Compliance officer	99677 16999	judeshi@deriviumcap.com

derivium tradition securities (india) pvt. ltd.

Regd Add: eucharistic congress building no. III, 10th floor, 5th convent road, colaba,
mumbai - 400 039 india

tel: (+91 22) 6606 4600 fax: (+91 22) 6606 4601
CIN:U67120MH2003PTC139989 Email : dome@deriviumcap.com



- iii) Step by step procedures for opening an account, filing a complaint on designated email id, and finding out the status of the complaint etc. **We are in to Wholesale Debt Market Segment.**
- a) Detailed write up on the procedure for opening an account along with Flowchart and video if any (optional).
- b) Detailed write up on procedure for filing a complaint on designated email id/ Toll-free number along with Flowchart and video if any (optional). Provisions are to be made for sharing Ticket Number once the complaint is lodged.
- c) Detailed write up on procedure for finding out status of the complaint basis Ticket Number etc. along with Flowchart and video if any (optional). **We are in to Wholesale Debt Market Segment.**
- iv) Details of Authorized Persons

List of Authorised Person										
Sr. No	Authorised Person Name	Authorised person Code (Exchange wise)	Constitution	Status	Registered Address				Terminal Details	
					Add	City	State	Pin Code	Terminal Allotted	No. of Terminals

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons				
Sr. No	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason

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